

-1-

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Group Art Unit:  
Gerald F. McBrearty et al. : Not Yet Assigned

Serial No.: Not Yet Assigned : IBM Corporation

Filed: (herewith) : Intellectual Property Law  
: 11400 Burnet Road

Title: ACTIVATING A VOLUME GROUP : Internal Zip 4054  
WITHOUT A QUORUM OF DISKS IN THE : Austin, Texas 78701  
VOLUME GROUP BEING ACTIVE :  
: 09/903930 07/12/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

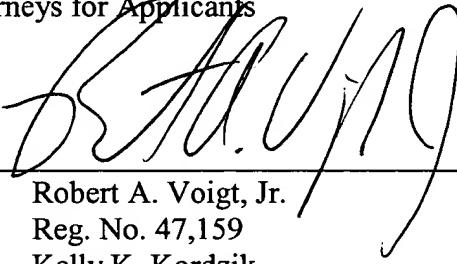
A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

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07/03/2001 - 7047-P440US

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: Not Yet Assigned  
Applicants: Gerald F. McBrearty et al.  
Filing Date: (herewith)  
Group: Not Yet Assigned  
Atty. Docket No.: AUS9-2001-0368-US1

11002 U.S. PTO  
09/903930  
07/12/01

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,878,224	03/02/99	Smith	709	224	
ABC	6,098,099	08/01/00	Ellesson et al.	709	223	
ACA	5,675,721	10/07/97	Freedman et al.	345	502	
ADA	6,101,541	08/08/00	Ellesson et al.	709	225	
AEA						
AFA						
AGA						
AHA						
AIA						
AJA						
AKA						
ALA						
AMA						
ANA						
AOA						
APA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes      No
AQA						
ARA						
ASA						
ATA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

\_\_\_\_\_ AUA Laurent Vanel et al., "AIX Logical Volume Manager, from A to Z: Introduction and Concepts,"  
*International Technical Support Organization*, January 2000, pp. 1-407.

\_\_\_\_\_ AVA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.